



#### 4. Bank/building society details

Note E

All payments due to you will only be paid to the account of the registered holder(s) below unless you expressly request otherwise in writing. Faxed instructions will not be accepted for the purpose of advising new bank or building society account details. Therefore, you should advise us in writing, at least 10 business days in advance of a proposed redemption, if you have changed your bank account or if you have not completed this section. Failure to complete this section may result in delays in paying sale proceeds. Please note that the account MUST be in the name of all registered holders. Joint accounts are required for joint holdings.

Bank account number

Sort Code

 -  - 

SWIFT Code

IBAN

Name(s) of account holder(s) (This must be the same as in Section 1 of this application form)

Bank/building society name and address

Postcode

Country

#### 5. Financial Adviser Details

Please complete this section if you are investing on the advice of a Financial Adviser:

Initial Commission Payable %:

Contact name

Financial Advisers' stamp (including full name of regulated firm)

#### 6. Declaration and signatures

- (I) I/We declare that the investments are not being acquired directly or indirectly in violation of any applicable law and will not be owned directly or indirectly by a US Person (Note E).
- (II) I/We agree to notify the Manager in writing immediately if any of the details in this application form cease to be correct in all respects.
- (III) I/We acknowledge that the Manager reserves the right to reject an application in whole or in part in which event the application monies or any balance thereof will be returned at my/our risk.
- (IV) I/We acknowledge that the Manager reserves the right to cancel any transaction and/or redeem sufficient investments to meet any costs incurred as a result of my/our failure to settle in full this or any transaction on the due settlement date.
- (V) I/We certify that the shares to be acquired as a result of this application will/will not\* be shares directly or indirectly owned by a person(s) resident for tax purposes in Guernsey, Alderney or Herm.
- (VI) I/We have received and read the Scheme Particulars for the Hume Fund and the Supplemental Scheme Particulars for the relevant Hume Fund.
- (VII) I/We understand the section headed 'Important Information' in the Notes to Application and confirm that our investment is made on the terms and conditions therein.
- (VIII) I/We declare that we have completed this form to the best of my/our knowledge and belief.
- (IX) I/We declare that I am/we are dealing on my/our own behalf and will be the beneficial owner of the Shares. To the extent that I am / we are acting on behalf of any other party, I/we will provide the same documentation/warranties for the final beneficiaries.
- (X) I/We acknowledge that the Manager will withhold any investment until full client identification has been completed.
- (XI) I/We acknowledge that the Manager reserves the right to withhold any redemption proceeds until full client identification is confirmed and he is satisfied that the 'Know Your Client' checks have been completed.
- (XII) I/We confirm that I/we have been given the opportunity to receive a copy of the latest available annual and/or interim financial statements of the relevant Hume Fund.

## 6. Declaration and signatures

(Continued)

- (XIII) I/We hereby agree to indemnify and hold harmless the Directors, Hume Funds, the Fund, the Manager, the Administrator and the Shareholders against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition, covenant or agreement contained herein or in any other document delivered by the undersigned to the Fund or its agents.
- (XIV) I/We hereby authorise the Company to accept dealing instructions (including subscription and redemption) given by me/us whose details are set out above. For redemption deals which are placed by fax, post or email by me/us, I/We hereby authorise the Fund and its agents to accept such redemption documents completed by the authorised signatories of the registered holder(s) as an instruction to withdraw the redeemed shares from the register in the names detailed above.
- (XV) I/We acknowledge that the Manager may share information about my/our application and transactions on my/our account with any financial adviser through whom this application is made unless I/we advise the Manager to the contrary.

\* Please delete as applicable.

Corporate signature

Director

Signature

Director/Secretary

Date

Complete if relevant.

As Corporate Trustee for

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## Power of Attorney

This application form is signed under Power of Attorney.

(Tick if applicable)

The Attorney hereby certifies that no notice of revocation of that Power has been received. The Certified Copy Power together with verification of the Attorney's identity are enclosed.